

IN THE SPECIFICATION:

Please amend paragraph [0003] as follows:

[0003] Typically, since the semiconductor die and the substrate have different coefficients of thermal expansion, have different operating temperatures and have different mechanical properties with differing attendant reactions to mechanical loading and stresses, the individual joints formed by the bumps between the semiconductor die and substrate are subject to different levels of loads thereby having different stress levels therein. Therefore, the bumps must be sufficiently robust to withstand such varying loads and stress levels to maintain the joint between the semiconductor die chip and the substrate for both electrical and mechanical connections therebetween. Additionally, the bumps must be sufficiently robust to ~~withstand~~ withstand an environmental attack thereto. To enhance the joint integrity formed by the bumps located between the semiconductor die and the substrate, an underfill material typically comprised of a suitable polymer is introduced in the gap between the semiconductor die and the substrate. The underfill material serves to distribute loads placed on the semiconductor die and substrate, transfers heat from the semiconductor die, provides a reduced corrosion environment between the substrate and semiconductor die and provides an additional mechanical bond between the semiconductor die and the substrate to help distribute loading and stress on the semiconductor die and bumps.

Please amend paragraph [0006] as follows:

[0006] In an effort to decrease the period of time for the underfill process, United States Patent No. 5,710,071 to Beddingfield et al. discloses a method of mounting a semiconductor die over an aperture in a substrate and dispensing the underfill material along the entire periphery of the semiconductor die. The underfill material flows through the gap between the semiconductor die and the substrate via capillary action toward the aperture in the substrate, thereby expelling air in the gap through the hole in the substrate to minimize voids in the underfill material.

Please amend paragraph [0008] as follows:

[0008] United States Patent No. 5,766,982 to Akram et al., discloses a method of injecting underfill material along the sides of a semiconductor die mounted on a substrate and/or through an aperture in the substrate located below the semiconductor die mounted on a substrate utilizing capillary force to fill the gap between the semiconductor die and the substrate and further utilizing gravitational force to fill the gap by placing the substrate and semiconductor device on an inclined plane with or without a barrier at the lower side of the semiconductor die to prevent the underfill material from substantially flowing beyond the lower side of the semiconductor die.

Please amend paragraph [0019] as follows:

[0019] FIG. 6 is a top view of a semiconductor die and a substrate illustrating ~~a second~~ the second embodiment for dispensing underfill material between the semiconductor die and the substrate and having an aperture therethrough in accordance with the present invention;

Please amend paragraph [0030] as follows:

[0030] Semiconductor die 12 includes a plurality of ~~sides~~ side ends 30, 30', 32, 32' (see FIG. 4) and an active surface 20. ~~The sides~~ side ends 30 and 30' of the semiconductor die 12 oppose each other while ~~sides~~ side ends 32 and 32' oppose each other. The active surface 20 includes integrated circuitry and a plurality of bond pads 22. The bond pads 22 have bumps 24 thereon for providing both electrical connection and mechanical connection to the substrate 10.

Please amend paragraph [0031] as follows:

[0031] An electrical assembly is produced by placing and securing the semiconductor die 12 on the upper surface 18 of substrate 10. Specifically, the bumps ~~24 of the~~ on the bond pads 22 of the semiconductor die 12 are aligned with the circuits and/or contact pads located on upper surface 18 of substrate 10. The semiconductor die 12 is then electrically and mechanically connected to the substrate 10 by reflowing or curing the bumps 24 to the circuits and/or contact pads of upper surface 18 of substrate 10, depending upon the type of material comprising

bumps 24. Alternatively, the bumps 24 may be formed on the circuits and/or substrate 10 prior to attachment of the semiconductor die 12 thereto. In other words, either the bond-pad pad 22 of the semiconductor ~~die~~ die 12 or the circuits and/or contact pads of the substrate 10 or both may include the bumps, such as bumps 24, thereon. Although bumps 24 are typically formed of various solder alloys, it is understood that any other materials known in the art (e.g., gold, indium, tin, lead, silver or alloys thereof) that reflow to make electrical interconnects to the circuits and/or contact pads of substrate 10 can also be used. Additionally, the bumps 24 may be formed of conductive polymeric and epoxy materials, may include various metals being contained therein, and may be plated with metals after formation, etc.

Please amend paragraph [0032] as follows:

[0032] When the bumps 24 on the bond-pads pads 22 of the semiconductor die 12 are reflowed to electrically and mechanically connect the semiconductor die 12 to the circuits and/or contact pads of the substrate 10, a space or gap 26 is formed between the active surface 20 of semiconductor die 12 and the upper surface 18 of substrate 10, the size of the gap 26 generally being determined by the size of the reflowed solder ~~bumps~~ bumps 24 on the bond-pads pads 22 of the semiconductor die 12. Typically, such a gap will vary from approximately 3 mils to about 10 mils.

Please amend paragraph [0033] as follows:

[0033] In the present invention, prior to connecting the semiconductor die 12 to the circuits and/or contact pads on the upper surface 18 of the substrate 10, a wetting agent ~~layer 2,~~ layer, such as a ~~as~~ silane layer 2, is formed on the top surface 18 of substrate 10 and/or the active surface 20 of the semiconductor die 12. The wetting agent ~~layer 2,~~ layer, such as a ~~as~~ silane layer 2, can be formed thereon by any suitable spray method, brush application method, and/or a dispense method, although spraying a silane layer 2 as a wetting agent layer is the preferable method in order to provide a substantial uniform layer thereon. The silane layer 2 is most preferably formed as a monolayer thickness but may be formed as one or more multiple layers or formed in addition to other layers promoting a wetting effect on the surface of either the upper

surface 18 of the substrate 10, the active surface 20 of the semiconductor die 12, or both. The silane layer 2 may be provided to the surface of the semiconductor die 12 while in its wafer form prior to or after burn-in testing, or after the wafer has been diced into multiple individual dice or an individual die. As to the substrate 10, the silane layer 2 may be provided thereon at any stage prior to the semiconductor die 12 being mounted thereto. In addition, the silane layer 2 may be comprised of any silane-based material, i.e., glycidoxypyltrimethoxysilane (b.p. 290°C) and ~~Ethyltrimethoxysilane~~ ethyltrimethoxysilane (b.p. 310°C), so long as any substantial degradation thereof during any solder reflow process or curing process of the bumps 24 or any substantial degradation thereof during any burn-in and/or testing process is minimal so that the silane layer 2 promotes a sufficient wetting effect on the active surface 20 of the semiconductor die 12, the upper surface 18 of the substrate 10, or both.

Please amend paragraph [0034] as follows:

**[0034]** Once the semiconductor die 12 is mounted on the substrate ~~10~~ 10, as previously set ~~forth~~ forth, an underfill material 28 is applied to fill the gap 26 between the semiconductor die 12 and the substrate 10. As previously stated, the purpose of the underfill material 28 is to provide a reduced corrosion environment between the substrate 10 and semiconductor die 12, help provide an additional mechanical bond between the semiconductor die 12 and the substrate 10, to help distribute loading and stress on the semiconductor die 12 and bumps 24, and to help transfer heat from the semiconductor die 12. The underfill material 28 typically comprises a polymeric material, such as an epoxy or an acrylic resin, and may contain inert filler material therein. The underfill material 28 typically has a thermal coefficient of expansion that approximates that of the semiconductor die 12 and/or the substrate 10 to help minimize loading and stress placed on either the semiconductor die 12 or the substrate 10 during the operation of the semiconductor die 12 caused by the heating of the underfill material 28.

Please amend paragraph [0035] as follows:

**[0035]** To promote filling of the gap 26 between the substrate 10 and semiconductor die 12, the viscosity of the underfill material 28 is ~~controlled~~ controlled by taking into account

the flow characteristics of the underfill material 28, the material characteristics of the substrate 10, the material characteristics of the semiconductor die 12, and the size of the gap 26. By providing the silane layer 2 to the substrate 10 and the semiconductor die 12, the material characteristics of the surfaces thereof are changed so that the surface tension is increased. Accordingly, the underfilling of the gap 26 takes less time, allowing for a more efficient underfilling process.

Please amend paragraph [0036] as follows:

**[0036]** For example, underfill flow time  $t$  is governed by the Washburn Law for ~~one-sided~~ one-sided flow. The equation for calculating the amount of flow time under this law is generally known as follows:

$$t = \frac{3\mu l^2}{h\sigma \cos\theta}$$

where

$\mu$  is the absolute viscosity of the underfill material;

$l$  is the flow distance at time  $t$ ;

$h$  is the gap distance between the chip and substrate;

$\sigma$  is the surface-tension coefficient of the underfill material; and

$\theta$  is the wetting or contact angle.

Please amend paragraph [0037] as follows:

**[0037]** As shown in the above equation, manipulation of the contact angle  $\theta$  can either decrease or increase the flow time  $t$  for filling the gap 26. As illustrated in drawing Fig. 2, the contact angle  $\theta$  is the angle by which the underfill material 28 makes contact with the surface of the substrate 10 and the semiconductor die 12 via the constant capillary force driving the flow. The contact angle  $\theta$  may be reduced by increasing the surface tension of the substrate 10 and semiconductor die 12, which results in a drop of flowing time. For example, according to the equation above, reducing the contact angle  $\theta$  from 30° to 10° will reduce the flow time  $t$  for filling the gap 26 between the substrate 10 and ~~chip~~ semiconductor die 12 by 12%.

Please amend paragraph [0038] as follows:

[0038] Thus, it can be appreciated that by pretreating the surfaces of the substrate 10, the semiconductor die 12, and/or both, with a silane layer 2, as previously set forth, a wetting effect to the surface thereof results in an increased surface tension. In this manner, the contact angle  $\theta$  is reduced, resulting in a decrease in flow time  $t$  and a more efficient and cost-effective method for underfilling the semiconductor ~~device~~ die 12.

Please amend paragraph [0040] as follows:

[0040] As shown in drawing FIGs. 3 and 4, underfilling is accomplished by applying the underfill material 28 under either one or two of the adjacent side ends 30 and 32 of the semiconductor die 12. The underfill material 28 is then allowed to freely flow, as a result of capillary forces, between the semiconductor die 12 and the substrate 10, and exiting on the remaining sides side ends 30', 32', respectively. In using the one-sided or two-sided dispense method, the underfill material 28 is able to push any air ~~which that~~ exists in a space between the semiconductor die 12 and the substrate 10 out from the opposing side ends 30 and 32 of the semiconductor die as the underfill material 28 fills the space. The underfill material 28 is applied with an underfill dispenser 34, such as a syringe having a suitable nozzle thereon or any other dispensing means known in the art. After application of the underfill material 28, the underfill material 28 is cured either by heat, ultraviolet light, radiation, or other suitable means in order to form a solid mass.

Please amend paragraph [0041] as follows:

[0041] In a second embodiment of the present invention, a through-hole 38 is formed in the substrate 10, which is made to be located substantially centrally under the semiconductor die 12. Underfilling may then be accomplished by applying the underfill material 28 around the entire perimeter of the semiconductor die 12, as shown in drawing FIGs. 5 and 6. The underfill material 28 is then allowed to flow freely via the capillary forces as in the previous embodiment, however, the underfill material 28 exits through the ~~through-~~

~~hole-through-hole~~ 38, pushing any air ~~which that~~ exists in the gap 26 between the semiconductor die 12 and the substrate 10 through the through-hole 38 therein. The arrows in drawing FIG. 6 represent the directional flow of the underfill material 28 upon dispensing about the perimeter of the semiconductor die 12.

Please amend paragraph [0042] as follows:

[0042] In a third embodiment of the present invention as shown in drawing FIG. 7, the substrate 10 may be positioned on an inclined plane 54 with respect to a horizontal plane 52. The angle of elevation or inclination of the inclined plane 54 and the attendant substrate 10 and semiconductor die 12 is dependent on the viscosity or the rate of dispensing of the underfill material 28. The viscosity of the underfill material 28 should be adjusted to allow facile flow of the underfill material 28 but should be left low enough to readily prevent the flow of the underfill material 28 beyond the perimeter of the semiconductor die 12. It should also be understood that the substrate 10 may be inclined by placing the substrate 10 on a support member 44, such as a tilted table or conveyor belt, as is shown in drawing FIG. 9 and further described below. ~~Alternately,~~ Alternatively, the substrate 10 may be inclined by placing the substrate 10 below a support member or horizontal plane 52 as described hereinbelow.

Please amend paragraph [0043] as follows:

[0043] Since the substrate 10 having the semiconductor die 12 thereon is placed on an incline, in addition to any fluid pressure used to inject the underfill material ~~and~~ and any capillary action force acting on the underfill ~~material,~~ material 28, a gravitational force also acts on the underfill ~~material~~ material 28 causing the underfill material 28 to readily flow from side end 30 toward side end 30'. Due to the additional action of the gravitational force to that of the injection pressure and capillary action, air pockets, bubbles, and voids found within the underfill material 28 are displaced by the ~~greater density~~ density of underfill material 28 as it flows toward the side end 30' of semiconductor die 12. The ability to displace and the speed of displacement of the voids is dependent on the inclined angle of the substrate 10 having semiconductor die 12 thereon, the viscosity of the underfill material 28, the injection rate of the

underfill material 28, and the uniformity of the injection of the underfill material 28 into the gap gap 26 between the substrate 10 and the semiconductor die 12 to form a substantially uniform flow front of underfill material 28 into and through the gap 26. If desired, the process of underfilling the gap 26 may be repeated by inclining the substrate 10 in the opposite direction and subsequently dispensing another amount of underfill material 28 from an opposing side of the semiconductor die 12 into the gap 26 to improve the uniformity of the underfill material 28 filling the gap 26.

Please amend paragraph [0044] as follows:

[0044] Referring now to drawing FIG. 8, a fourth embodiment of an interconnected semiconductor die 12 and substrate 10 is shown. As shown, a dam or barrier 40 is used on the upper surface 18 of the substrate 10 to help contain the flow of the underfill ~~material 28 from the~~ a gap at the side end 30' of the semiconductor die 12. Conventional molding equipment and techniques (e.g., pour molding, injection molding, adhesive ~~bonding, etc.~~ bonding, etc.) can be used to form the dam 40 on the substrate 10. The dam 40 is typically formed from any suitable epoxy resin material compatible with the substrate 10.

Please amend paragraph [0048] as follows:

[0048] Referring to drawing FIG. 9, a side view of a semiconductor die 12 and substrate 10, interconnected via bumps 24, of a fifth embodiment of the invention is shown. The substrate 10 is inclined with respect to a horizontal plane 52 by placing the substrate 10 onto a support member 44. Support member 44 can be a tilt table, a tilted conveyor belt, or any other means of support suitable for holding the substrate 10 of the present invention. Preferably, support member 44 can be positioned and locked at various angles and can also be elevated or lowered from front to ~~back- back,~~ as well as side to side.

Please amend paragraph [0050] as follows:

[0050] Referring to drawing FIG. 10, a top view of an interconnected, ~~solder-~~ bumped- solder-bumped semiconductor die 12 and substrate 10 of a sixth embodiment of the



present invention is shown similar to that of the second embodiment as shown in drawing ~~FIG. 8-~~ FIG. 6. However, this particular embodiment illustrates the use of two dams 40 and 42, which are oriented transversely with respect to one another. The two dams 40 and 42 lie in substantially parallel orientation with respect to two mutually perpendicular and abutting side ends 30' and 32' of the semiconductor die 12.

Please amend paragraph [0051] as follows:

[0051] The method of this embodiment permits underfilling along two side ends 30 and 32 simultaneously. Dams 40 and 42 prevent the spread and overflow of underfill material 28 beyond side ends 30' and 32' of the semiconductor die 12. The underfill ~~material-~~ material 28 may be easily controlled and a wider range of viscosities may be used by controlling the depth of the dams 40 and 42, by controlling the width between the side ends 30' and 32' of the semiconductor die 12 and the dams 40 and 42, and by controlling the distance between the edges 60 and 62 of the dams 40 and 42.

Please amend paragraph [0052] as follows:

[0052] An alternative method comprises tilting the substrate 10 so as to elevate side end 32 of the semiconductor die 12 and applying the underfill material 28 under side end 32 of the semiconductor die 12 via the underfill dispenser 34'. The substrate 10 is then tilted so as to elevate side end 30 of the semiconductor die 12 and the underfill material 28 is dispensed along side end 30 of the semiconductor die 12 via underfill dispenser 34. This alternating underfill technique can be repeated until the underfill material 28 is free of air pockets and voids.

Please amend paragraph [0053] as follows:

[0053] Referring to drawing FIG. 11, a cross-sectional view of an interconnected, solder-bumped semiconductor die 12 and substrate 10 of a seventh embodiment of the present invention is shown midway through the underfill process. In this particular embodiment, the substrate 10 has a ~~suitable-~~ suitably shaped opening 70 situated near the center of the substrate 10 through which underfill material 28 can be applied via the underfill dispenser 34. Additionally,

dams 72 located on each side of the semiconductor die 12 are molded or suitably attached to upper surface 18 of the substrate 10 as described hereinbefore being positioned to lay slightly beyond each of the side ends 30, 30' and 32, 32', respectively. It should also be understood that other dams 72' (not shown) are located laterally on the side ends 32 and 32' (not shown) of the semiconductor die 12 to confine the underfill.

Please amend paragraph [0054] as follows:

[0054] Referring to drawing FIG. 12, a cross-sectional view of an interconnected, solder-bumped semiconductor die 12 and substrate 10 of an eighth embodiment of the present invention is shown midway through the underfill process. In this particular embodiment, the substrate 10 has a ~~suitable~~ suitably shaped opening 70 situated near the center of the substrate 10 through which underfill material 28 can be applied via the underfill dispenser 34. In this instance, there is no dam used to confine the underfill material 28. Additionally, if desired, the substrate 10 having semiconductor die 12 located thereon may be tilted in each direction to enhance the flow of the underfill material 28 in the gap 26 between the substrate 10 and the semiconductor die 12 during the underfilling process.

Please amend paragraph [0055] as follows:

[0055] Referring to drawing FIG. 13, a cross-sectional view of an interconnected, solder-bumped semiconductor die 12 and substrate 10 of a ninth embodiment of the present invention is shown midway through the underfill process. In this particular embodiment, the substrate 10 has a ~~suitable~~ suitably shaped opening 70 situated near the center of the substrate 10 through which underfill material 28 can be applied via the underfill dispenser 34. Additionally, dams 72 located on each side of the semiconductor die 12 are molded or suitably attached to upper surface 18 of the substrate 10 as described hereinbefore being positioned to lay slightly beyond each of the side ends 30, 30' and 32, 32', respectively. It should also be understood that other dams 72' (not shown) are located laterally on the side ends 32 and 32' (not shown) of the semiconductor die 12 to confine the underfill material 28. In this instance, the substrate 10 having semiconductor die 12 located thereon is inverted during the underfill process so that the

underfill material 28 is dispensed through the opening 70 into the gap 26 between the substrate 10 and semiconductor die 12. As in the previous embodiments, the substrate 10 is located at an inclined plane 54' with respect to horizontal plane-~~52~~-52, although located therebelow and inclined with respect thereto.

Please amend paragraph [0056] as follows:

[0056] In operation, the present method is initiated by elevating or inclining side wall 14 of the substrate 10. As the underfill material 28 is added, in this case by means of an opening 70 through the substrate 10, the underfill material 28 flows towards the dam 72 and fills the lowered portion of the gap 26 between the semiconductor die 12 and the substrate 10. The side wall-~~14~~-14' of the substrate 10 is then lowered and the side wall-~~14'~~-14 of the substrate 10 is elevated. The backfill method is then repeated with the underfill material 28 now flowing towards the opposing dam 72 to complete the filling of the gap 26 between the semiconductor die 12 and the substrate 10. The underfill material 28 is then cured as previously described. ~~Alternately,~~ Alternatively, the underfill material 28 may be cured after the partial filling of the gap 26 between the substrate 10 and semiconductor die 12, and the remainder of the gap 26 is filled and subsequently cured.

Please amend paragraph [0057] as follows:

[0057] Referring to drawing ~~FIGs. 14~~-FIGs. 14 and 15, a ~~cross-section~~-cross-sectional view of an interconnected, solder bumped semiconductor die 12 and substrate 10 of a tenth embodiment is shown in an underfill process that includes a vacuum chamber 82 to underfill the gap 26 therebetween. In particular, a bead of underfill material 28 is provided on the substrate 10 about the periphery of the semiconductor die 12 by injection or any suitable method. Next, the semiconductor die 12 and substrate 10 are placed in the vacuum chamber 82 with a vacuum (not shown) being subsequently applied to the semiconductor die 12 and the substrate 10 to evacuate the gap 26 therebetween. Air is then slowly allowed to re-enter the vacuum chamber 82 to force the underfill material 28 into the gap 26 (in addition to the force due to capillary action acting thereon) between the semiconductor die 12 and the substrate 10.